



## **APEX CLEARING FDIC-INSURED SWEEP PROGRAM PARTICIPATING BANK LIST**

<b>Participating Banks as of June 30, 2022</b>	
<b>BNY Mellon, N.A. (as Intermediary Bank)</b>	<b>Libertyville Bank &amp; Trust Company, N.A.</b>
<b>Ally Bank</b>	<b>Schaumburg Bank &amp; Trust Company, N.A.</b>
<b>Associated Bank, N.A.</b>	<b>Signature Bank</b>
<b>BankUnited, N.A.</b>	<b>SoFi Bank, N.A.</b>
<b>Belmont Bank &amp; Trust Company</b>	<b>St. Charles Bank &amp; Trust Company, N.A.</b>
<b>BMO Harris Bank, N.A.</b>	<b>State Bank of the Lakes</b>
<b>Burling Bank</b>	<b>Texas Capital Bank</b>
<b>Crystal Lake Bank &amp; Trust Company, N.A.</b>	<b>TIAA, FSB</b>
<b>Customers Bank</b>	<b>Town Bank, N.A.</b>
<b>East West Bank</b>	<b>Tristate Capital Bank</b>
<b>Independent Bank</b>	<b>Village Bank &amp; Trust</b>
<b>Lake Forest Bank &amp; Trust Company, N.A.</b>	<b>Wintrust Bank</b>

The Participating Bank List (PBL) is a list of banks into which your funds may be deposited and is available from your broker and at [apexclearing.com/disclosures](http://apexclearing.com/disclosures). Please review the PBL carefully and refer to the Apex FDIC-Insured Sweep Program Terms & Conditions for complete details about the Program. Your cash may be allocated to any bank on the list at any point in time. You may not designate which banks on the PBL receive your funds. However, you may exclude any Participating Bank from holding your funds at any time by contacting your broker. This will result in your funds not being deposited into this bank. If your funds are already allocated to that bank, we will remove your funds from that bank and exclude that bank from receiving future deposits. If you already have funds at any bank on the PBL, please notify your broker to designate that bank to be excluded (as detailed above) so that no additional funds are allocated through this program to that bank, which should help prevent deposits in that bank from exceeding your FDIC- defined ownership category insurance limits. You are responsible for monitoring the total amount of deposits that you have at a bank to determine the amount of FDIC insurance you may have available to you. Banks may be added or removed from the PBL. When changes are made, we will, to the extent possible, update the PBL located on [apexclearing.com/disclosures](http://apexclearing.com/disclosures) 30 days prior to depositing funds to such bank. However, under certain limited circumstances, such prior notification may not be possible.